



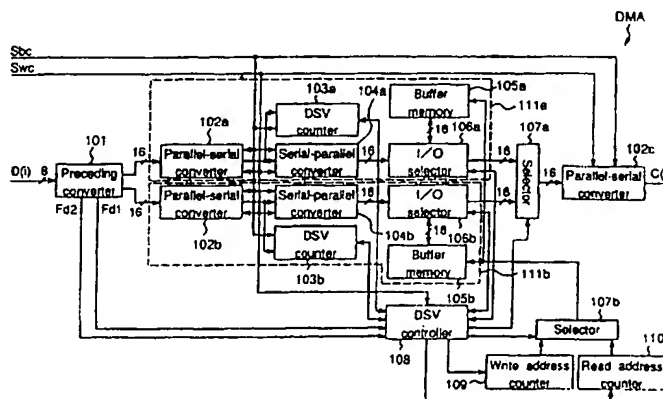
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: A DIGITAL MODULATION APPARATUS, A DIGITAL MODULATION METHOD, AND A RECORDING MEDIUM THEREFOR

## (57) Abstract

This digital modulation apparatus (DMA) includes ROM tables (201a, 201b, 201c, and 201d) for storing plural conversion tables (Tp(m) and Ts(m)) containing the runlength-limited code words (C) corresponding to the data words (D); end-runlength memories (203a and 203b) and evaluators (204a and 204b) for determining whether the runlength-limit constraints are satisfied in the interconnection between two consecutive code words when the data words (D(i)) to be converted are supplied; and a DSV controller (108) for storing each of the code words (C1(i) and C2(i)) that can be selected from two conversion tables (Tp(1) and Tp(4)) to buffer memories (105a and 105b) when the runlength-limit constraints are satisfied, and selecting the code words (C(i)) with the greatest effect suppressing the low frequency component in the obtained signal when the code words (C(i)) are NRZI converted.



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## DESCRIPTION

## A DIGITAL MODULATION APPARATUS, A DIGITAL MODULATION METHOD, AND A RECORDING MEDIUM THEREFOR

## Technical Field

The present invention relates to a digital  
5 modulation apparatus used with optical disk media, and  
relates particularly to a modulation technique applicable  
to suppressing the low frequency component of a non-return-  
to-zero inverted (NRZI) signal.

## Background Art

10 Digital modulation and demodulation apparatuses  
used for recording and reproduction with an optical disk  
medium are typically comprised as shown by the block  
diagram in Fig. 8c. A conventional digital modulation and  
demodulation apparatus DMC includes a modulating unit 1000  
15 for modulating data word to a non-return-to-zero inverted  
(NRZI) signal  $S_{NRZI}$ , an optical head OH for writing and  
reading the signal  $S_{NRZI}$  to and from an optical disk OD, and  
a demodulator 2000 for demodulating the read signal  $S_{NRZI}$  to  
the original data word.

20 Specifically, during modulation the data words  $D(i)$   
by the modulator 1000 are first converted to code words  
 $C(i)$  suited to recording to an optical disk OD by means of  
a code converter 1001, the converted code words  $C(i)$  are  
then converted to a non-return-to-zero inverted (NRZI)  
25 signal  $S_{NRZI}$  by an NRZI converter 1002. Note that  $i$  is an  
integer represents the inputting order of the corresponding  
data words, is therefore not greater than the total number

of the inputted data words. The resulting NRZI signal  $S_{NRZI}$  is then written to the optical disk OD by the optical head OH.

5 The NRZI signal  $S_{NRZI}$  is read out from the optical disk OH for the demodulation by the demodulator 2000. During demodulation, the NRZI signal  $S_{NRZI}$  is reversed to the code word  $C(i)$ . This reversed code word  $C(i)$  is reversed to the data word  $D(i)$ . Thus, the conversion process performed by the modulator 1000 is reversed to read 10 the original data word  $D(i)$ . The correlation between the data words  $D(i)$ , code words  $C(i)$ , and NRZI signal  $S_{NRZI}$  in this process is as shown in Fig. 9 by way of example.

#### EFM conversion

One method of converting data words  $D(i)$  to code words  $C(i)$  in a code converter is eight-to-fourteen 15 modulation (EFM) conversion. This EFM conversion method expressed in  $(d, k; m, i)$  terms is a  $(2, 10; 8, 17)$  code sequence constraint conversion method where  $d$  is the minimum runlength permitted in each code word  $C(i)$ ,  $k$  is 20 the maximum runlength permitted in each code word  $C(i)$ ,  $m$  is the length of the data word  $D(i)$ , and  $i$  is the length of the code word  $C(i)$ .

The runlength is the number of bits of the same value which corresponds to signal-transition after NRZI 25 conversion, e.g., ZERO in this example, between bits of a different value which corresponds to non-signal-transition after NRZI conversion, e.g., ONE in this example. The  $d$

and  $k$  constraints are the runlength limits, and this type of runlength-limited code is thus usually called a  $(d, k)$  code.

More specifically in EFM conversion an 8-bit data word is first converted to a 14-bit code word. In this example the code word  $C(i)$  satisfies a  $(2, 10)$  code sequence constraint, resulting in every code word  $C(i)$  having at least two and not more than ten zeroes between any two ones in the code word. A 3-bit merging code is then inserted between one 14-bit code word and the next 14-bit code word. Each code word is constructed so that this  $(2, 10)$  code sequence constraint is also satisfied in the 17-bit code sequence containing a code word  $C(i)$  and this transition code.

This transition code is selected so that in addition to satisfying this code sequence constraint the absolute value of the digital sum variation (DSV) is reduced to minimize the low frequency component of the channel signal, i.e., the NRZI signal  $S_{NRZI}$ . Note that the DSV is accumulated from the beginning of the binary bit sequence where the bits of one bit state have a value of +1 and the bits of the other state have a value of -1.

It is known that the low frequency component of the bit sequence can be suppressed by controlling the conversion process to minimize the absolute value of this DSV. It is desirable to suppress the low frequency component of the NRZI signal  $S_{NRZI}$  to prevent read errors

caused by threshold value fluctuation when the signal is digitized during reproduction, or to prevent fluctuation in the servo error signal during recording and reproduction.

EFM conversion is thus an effective conversion method with respect to suppressing the low frequency component of the NRZI signal  $S_{NRZI}$ , but it is not a completely satisfactory conversion method when used with the high recording densities of the latest optical disk media.

#### 10 EFMplus conversion

EFMplus is a modified EFM conversion method designed for improved performance with high density recording (cf., Kees A. Schouhamer Immink, "EFMPlus: The Coding Format of the High-Density Compact Disc," IEEE, 15 1995). This EFMplus conversion is a (2, 10; 8, 16) code sequence constraint conversion method achieving a 17/16 improvement in recording density when compared with EFM conversion corresponding to (2,10; 8,17) code sequence constraint conversion as described above. In EFMplus 20 conversion method, an eight-to-fourteen modulation (EFM) conversion is extended to an eight-to-sixteen modulation (ESM), wherein an 8-bit data word  $D(i)$  is converted to a 16-bit code word  $C(i)$ .

Whereas EFM conversion uses a single static 25 conversion table, EFMplus conversion selects one conversion table from plural available conversion tables according to specific rules each time a data word is presented for

conversion, and uses the selected conversion table to convert the data word to a code word. Note that the use of a specified single conversion table is called a "state" corresponding to that conversion table.

5            Fig. 10 is one example of possible conversion tables  $Tpc(m)$  and  $Tsc(m)$  used in the EFMplus conversion method. There is a total of eight tables and grouped according to four states (state 1 to state 4) with two tables, a primary and secondary, associated with each  
10        state. The primary conversion tables are indicated by symbols  $Tpc(m)$ , wherein  $m$  corresponds to the state numbers. For example,  $m=1$  means the primary conversion table  $Tpc(m=1)$  for the state 1. Similarly,  $m=2, 3$ , and  $4$  mean the primary conversion tables  $Tpc(m=2)$  for the state 2,  
15         $Tpc(m=3)$  for the state 3, and  $Tpc(m=4)$  for the state 4, respectively. In the same manner the secondary conversion table  $Tsc(m)$  are also identified by  $m$  its corresponding.

Each primary conversion table  $Tpc(m)$  contains all sorts of data words that can be expressed with eight bits,  
20        i.e., 256 sorts of data words, and the 16-bit code words corresponding to each of these data words. Each secondary conversion table  $Tsc(m)$  contains a finite selection of data  $D$  words, specifically the 88 sorts of data words from 00000000 to 01010111, and the corresponding code words  $C$ .  
25        Both the primary and secondary conversion tables  $Tpc(m)$  and  $Tsc(m)$  contain a next state indicator  $NS$  contains either

one of four values from 1 to 4 each indicating the state of the next conversion.

More specifically, the state of the next conversion, i.e., the primary or secondary conversion table Tpc(m) and Tsc(m) to be used for the next conversion, is designated by the code word C(i-1) obtained from the immediately preceding conversion. The next state designation by each code word is predetermined based on the own runlength (number of the last successive zeroes). This step ensures that the (2, 10) constraints are satisfied even in the interconnection between code words C(i-1) and C(i) as shown in Fig. 10 and Fig. 11. Note that the first conversion table Tpc(m=1) and Tsc(m=2) are used for the first data word D(i) to be converted, i.e., the data word following the first synchronization code.

As shown in Fig. 11, each of these conversion tables Tpc(m) and Tsc(m) is compiled so that the code words contained in a single conversion table all share a common characteristic. For example, the code words contained in the second conversion tables Tpc(m=2) and Tsc(m=2) all have an initial runlength of at least 1 and not more than 5 zeroes with the first bit and the thirteenth bit both being zero. Note that "X" in the table (Fig. 11) indicates that the bit value may be one or zero.

The method of selecting one of these eight conversion tables each time one data word is supplied is described below.



(1) The first step is to determine the state of the next conversion  $NS(i-1)$  according to the designation by previous code word  $C(i-1)$  in a manner described above.

(2) If the next data word  $D(i)$  to be converted is in the range 00000000 to 01010111, i.e., is data word 87 or less, the primary table  $Tpc(m)$  or secondary conversion table  $Tsc(m)$  converting the data word  $D(i)$  to a code word  $C(i)$  achieving maximum suppression of the low frequency component in the NRZI signal  $S_{NRZI}$  is selected. It will be obvious that if the data word  $D(i)$  to be converted is not within the above range a primary conversion table  $Tpc(m)$  will always be used.

The EFMplus conversion method thus converts one data word  $D(i)$  to one code word  $C(i)$ .

It should be noted, however, that there is a certain amount of duplication in the code words  $C(i)$  contained in each of the conversion tables  $Tpc(m)$  and  $Tsc(m)$  shown in Fig. 10. More specifically, the same code word  $C(m)$  can be assigned to different data words  $D(i)$  depending upon the selected state. The four code words  $C(i)$  surrounded by a rectangular frame in the tables in Fig. 10 are such duplicate words. It is therefore necessary to ensure that during demodulation, i.e., when re-converting one of these duplicate code words  $C(i)$  to the corresponding data word, the code word is uniquely decoded to the original data word.

This is achieved in EFMplus conversion by shifting to the next state, i.e., state 2 or state 3, when a data word  $D(i)$  is converted to a duplicate code word  $C(i)$ . Whether conversion is shifted to state 2 or state 3 is  
5 determined by which of these different the data word  $D(i)$  to be converted is. As a result, when the code word  $C(i)$  read from the optical disk OD is demodulated, it can be uniquely decoded to the original data word  $D(i)$  even if the code word  $C(i)$  is a duplicate code word because the state  
10 following that code word  $C(i)$  is known.

It will be obvious that the state  $NS(i)$  of the read code word  $C(i)$  can be known during demodulation by using the rules shown in Fig. 11 and Fig. 12. More specifically, as shown in Fig. 12, it can be determined whether the code  
15 word was converted in state 1, (2 or 3), or 4 from the runlength at the end of the code word  $C(i-1)$  read immediately before the code word  $C(i)$  being decoded. It can also be determined whether the code word was converted in state 2 or state 3 from the values of code word bits 1  
20 and 13 as shown in Fig. 11.

It is therefore possible by means of EFMplus conversion to uniquely convert an 8-bit data word to a 16-bit code word  $C(i)$ , and to uniquely decode the code word  $C(i)$  to the original data word  $D(i)$ .

25 While EFMplus conversion thus improves upon the EFM conversion method with respect to the achievable recording density, its performance in terms of suppressing the low

frequency component of the NRZI signal  $S_{NRZI}$  is inferior to that of EFM conversion. More specifically, while EFMplus conversion improves the recording density by 17/16 compared with EFM conversion, low frequency component suppression  
5 deteriorates by approximately 3 dB.

#### Disclosure of the Invention

The object of the present invention is therefor to provide a digital modulation apparatus which solves these problems.

10 The present invention has been developed with a view to substantially solving the above described disadvantages and has for its essential object to provide an improved digital modulation apparatus.

In order to achieve the aforementioned objective, a  
15 digital modulation apparatus for converting data words to runlength-limited code words comprises storage means for storing plural sets of conversion tables, correlating said code words to said data words, a selection means for selecting from among said plural conversion tables the next  
20 conversion table to be used based on said code word obtained from the immediately preceding conversion, and a reading means for reading and outputting the code word corresponding to the data word to be converted from the conversion table selected by said selection means,

25 whereby said selection means selects a set of conversion table that converts the data word to the code word so that the runlength constraint is also satisfied in

the interconnection between the code word obtained by the immediately preceding conversion and the code word obtained by the following conversion, and when there are plural sets of conversion tables each of which assigns the code words being able to be decoded to the original data word, the conversion table selected is the conversion table assigning the code word resulting in the most favorable digital sum variation in the signal after the code words are non-return-to-zero inverted converted.

#### 10 Brief Description of the Drawings

These and other objects and features of the present invention will become clear from the following description taken in conjunction with the preferred embodiments thereof with reference to the accompanying drawings throughout which like parts are designated by like reference numerals, and in which:

Fig. 1 is a block diagram showing a digital modulation apparatus according to the present invention,

Fig. 2 is a block diagram showing the specific configuration of the preceding converter 101 shown in Fig. 1,

Fig. 3 is a graph showing one example of all conversion tables that may be used in the EFMplus conversion method according to the present invention,

Fig. 4 is a flow chart in assistance of explaining a concept of the digital modulation according to the present invention,

Fig. 5 is a flow chart showing an operation of the digital modulation apparatus of Fig. 1,

Fig. 6 is a flow chart showing a DC control subroutine step shown in the flow chart in Fig. 5,

5 Fig. 7 is a block diagram showing a digital demodulation apparatus according to the present invention,

Fig. 8 is a block diagram showing a conventional digital modulation and demodulation apparatus,

10 Fig. 9 is a table illustrating the correlation between the data word, code word, and resulting NRZI signal with respect to the conventional digital modulation and demodulation apparatus of Fig. 8,

15 Fig. 10 is a graph showing an example of all conventional conversion tables used in the eight-to-sixteen conversion method,

Fig. 11 is a table showing the common characteristics of the code words contained in each of the conventional conversion tables shown in Fig. 10, and

20 Fig. 12 is a table showing the relationship between a code word and the state of the next conversion with respect to the conventional conversion tables shown in Fig. 10.

#### Best Mode For Carrying Out the Invention

##### Structure of the digital modulation apparatus

25 Referring to Fig. 1, a digital modulation apparatus according to the present invention is shown. This digital modulation apparatus DM comprises a preceding converter

101, parallel-serial converters 102a, 102b, and 102c, DSV  
counters 103a and 103b, serial-parallel converters 104a and  
104b, buffer memories 105a and 105b, input/output (I/O)  
selectors 106a and 106b, selectors 107a and 107b, DSV  
5 controller 108, write address counter 109, and read address  
counter 110.

The preceding converter 101 is connected to an  
external data word source (not shown) for receiving a 8 bit  
data word  $D(i)$  therefrom. Note that  $i$  is an integer  
10 indicating the inputting order of corresponding data words,  
and is used as an input byte counter. Then, the preceding  
converter 101 produces two 16 bit code word candidates  
 $C1(i)$  and  $C2(i)$  for that data word and two DCC flags  $Fd1$   
and  $Fd2$ . These DCC flags  $Fd1$  and  $Fd2$  declare whether DSV  
15 control is possible with each of these data words  $D(i)$   
where "DSV controllable" means that two code words  $C(i)$  can  
be selected for conversion of the one data word  $D(i)$ , and  
this selection can minimize the absolute value of the DSV  
after NRZI conversion of the selected code word  $C(i)$ . Note  
20 that DSV control is also referred to as DCC (DC control).  
A detailed description of the structure of the preceding  
converter 101 will be described later with reference to  
Fig. 2.

The first parallel-serial converter 102a is  
25 connected to the preceding converter 101 for receiving the  
first code word candidate  $C1(i)$  therefrom. The first  
parallel-serial converter 102 is further connected to an

external word clock source (not shown) for receiving a word clock signal Swc transmitted with each code therefrom, and to an external code word bit clock source (not shown) for receiving a code word bit clock Sbc transmitted by code word bit unit therefrom.

The first parallel-serial converter 102a converts the 16-bit parallel code word candidate Cl(i) to a serial code word, and outputs a serial code word sequence. Note that conversion and output are synchronized to the word clock signal Swc and the code word bit clock signal Sbc. 16-bit parallel code word and serial code word are referred to as "parallel code word" and "serial code word", respectively.

The first DSV counter 103a is connected to the first parallel-serial converter 102a for receiving thus converted serial code word and the serial code word sequence therefrom. The first DSV counter 103a is further connected to the external code word bit clock source for receiving the clock signal Sbc therefrom. Based on these data, the DSV counter 103a counts the DSV of each serial code word and accumulates it. More specifically, the DSV counter 103 includes a up/down-counter which counts zero and is reciprocally switched between up-count mode and down count mode when a one in a serial code is detected.

The first serial-parallel converter 104a is connected to the first parallel-serial converter 102a for receiving the serial code word and the serial code word

sequence therefrom. The first serial-parallel converter 104a is further connected to the external code word bit clock source and the word clock source for receiving the clock signals Sbc and Swc, respectively, therefrom. Based  
5 on these data, the first serial-parallel converter 104a converts the serial code words to a 16-bit parallel code word C(i).

The DSV controller 108 is connected to the preceding converter 101 for receiving the flags Fd1 and Fd2  
10 therefrom, and is further connected to the first DSV counter 103a for receiving a first DSV counted value DSV1 therefrom and sending a first DSV counter update signal thereto. The DSV controller 108 is also connected to the second DSV counter 103b for receiving a second DSV counted  
15 value DSV2 therefrom and sending a second DSV counter update signal thereto. Specifically, the controller 108 updates the counted value of either one of first and second DSV counters 103a and 103b whose counted value is inferior by the counted value of the other DSV counter 103.

20 The DSV controller 108 comprises a CPU, ROM, and RAM, forming a controller controlling DSV accumulation using a so-called look-ahead method. The DSV controller 108 more specifically controls the component elements based on the DCC flags Fd1 and Fd2 supplied from the preceding  
25 converter 101, and the information from the DVS counters 103a and 103b.



This look-ahead method is a technique used when there are two code words that could be selected for a particular data word, i.e., when DSV control is possible. In this DSV controllable state, the decision as to which of the two code words should be used is postponed until a DSV control state occurs again, at which time a decision is made to select the code word for the first DSV control state resulting in the lowest absolute value of the DSV accumulated by the time the second DSV control state is encountered.

More specifically, the DSV controller 108 ultimately determines which of the two code words output from the preceding converter 101 will result in the greatest low frequency component suppression in the final NRZI signal, and selects that code word. The details of this operation are described below with reference to a flow chart.

Based on these signals DSV1, DSV2, Fd1, and Fd2, the DSV controller 108 produces an I/O selector control signals for controlling the I/O selectors 106a and 106b. The DSV controller 108 further produces a selector control signals for controlling the selectors 107a and 107b.

The second selector 107b is connected to the DSV controller 108 for receiving the selector control signal to select read/write address therefrom.

The first I/O selector 106a is connected to the first serial converter 104a for receiving the 16-bit

parallel code word  $C(i)$  therefrom, and is further connected to the DSV controller 108 for receiving the 16-bit data  $C(i)$  data to write therefrom and sending the data read therefrom.

5           The first buffer memory 105a is connected to the first I/O selector 106a for selectively exchanging the 16-bit parallel code word  $C(i)$  thereto based on a control signal from the DSV controller 108. The first buffer memory 105a is further connected to the second selector  
10       107b for receiving the read/write address therefrom.

          The first buffer memory 105a accumulates and stores parallel code words. Note that the write and read addresses to the buffer memory 105a are supplied through selector 107b from the write address counter 109 and the  
15       read address counter 110 as controlled by the DSV controller 108.

          The first selector 107a is connected to the first I/O selector 106a for receiving the first code word  $C_1(i)$  therefrom.

20           The first I/O selectors 106a is controlled by the DSV controller 108 to store the parallel code word  $C(i)$  from the first serial-parallel converters 104a to the first buffer memory 105a, or to read and output the parallel code words  $C(i)$  stored in the buffer memory 105a to the first  
25       selector 107a.

          Thus, the first parallel-serial converter 102a, DSV counter 103a, Serial-parallel converter 104a, buffer memory

105a, and I/O selector 106a construct a first look-ahead unit 111a which temporarily stores the first code word candidate C1(0) until the evaluation of the first code word candidate C1(i) with respect to the second code word candidate C2(i), and outputs thus stored first candidate code words C1(0) to C1(i-1) to the first selector 107a.

Similarly, the second parallel-serial converter 102b, DSV counter 103b, Serial-parallel converter 104b, buffer memory 105b, and I/O selector 106b construct a second look-ahead unit 111b which temporarily stores the second code word candidate C2(0) to C2(i), and outputs thus stored second candidate code words C2(0) to C2(i-1) to the first selector 107a.

Specifically, the DSV controller 108 controls the first and second look-ahead units 111a and 111b to store the first and second candidate code words C1(0) to C1(i-1) and C2(0) to C2(i-1) until it is determined that which candidate code words C1(0) or C2(0) is good. Then, the candidate code word determined as good is outputted therefrom.

Referring to Fig. 2, the specific configuration of the preceding converter 101 is shown. The preceding converter 101 further comprises ROM tables 201a, 201b, 201c, and 201d, selectors 202a, 202b, 202c, and 202d, end-runlength memory units 203a and 203b, evaluators 204a and 204b, next-state memory 205a and 205b, synchronization code generator 206a and 206b, controller 207, and 1/93 frequency

divider 208. The ROM tables 201a, 201b, 201c, and 201d store all of the eight-to-sixteen conversion tables according to the present invention by state.

Referring to Fig. 3, conversion tables  $Tp(m)$  and  
5  $Ts(m)$  used in the eight-to-sixteen conversion method according to the present invention is shown. Similarly to the tables  $Tpc(m)$  and  $Tsc(m)$  shown in Fig. 8, there is a total of eight tables and grouped according to four states (STATE1 to STATE4) with two tables, a primary and  
10 secondary, associated with each state. The primary conversion tables are indicated by symbols  $Tp(m)$ , wherein  $m$  corresponds to the state numbers. the secondary conversion table  $Ts(m)$  are also identified by  $m$  its corresponding state. In this sense,  $m$  is referred to as "state index".

15 The primary conversion tables  $Tp(m)$  contain all sorts of data words  $D$  that can be expressed with eight bits, i.e., 256 sorts of data words, the 16-bit code words  $C$ , and the 2-bit next state indicators  $NS$ . The 16-bit code words  $C$  and the next state indicators  $NS(i)$  both correspond  
20 to each of these data words  $D$ .

The secondary conversion tables  $Ts(m)$  contain a finite selection of data words  $D$ , specifically the 88 data words from 00000000 to 01010111, the corresponding code words  $C$ , and the corresponding next state indicators  $NS$ .

25 Both the primary and secondary conversion tables  $Tp(m)$  and  $Ts(m)$  further contain 4-bit beginning runlength indicators  $IR$  and end 4-bit runlength indicators  $ER$ . Both

runlength indicators IR and ER are also corresponding to the preceding code word C.

Specifically, the state1 ROM table 201a stores a first primary conversion table  $Tp(m=1)$  and a secondary conversion tables  $Ts(m=1)$  for the first state STATE1 shown in Fig. 3. The other ROM tables 201b, 201c, and 201d similarly store corresponding primary and secondary conversion tables  $Tp(m=2, 3, \text{ and } 4)$  and  $Ts(m=2, 3, \text{ and } 4)$  for the second state STATE2, the third state STATE3, and the fourth state STATE4, respectively. Each ROM table also stores information identifying the attributes of each code word C including the next state  $NS(i)$ , and beginning runlength IR and end runlength ER, as described in the above, in addition to the code words C themselves.

When an i-th 8-bit data word  $D(i)$  is input to the state1 ROM table 201a and the state4 ROM table 201d, each of ROM tables 201a and 201d outputs a 26-bit data block corresponding to that inputted data word  $D(i)$  therefrom. This 26-bit data block is divided into a 22-bit block and a 4-bit block.

The 22-bit block contains the 16-bit code word  $C(i)$ , 4 bits end runlength indicator  $ER(i)$  declaring the end runlength of that code word  $C(i)$ , and 2 bits next state indicator  $NS(i)$  declaring the next state. The 4-bit block is the beginning runlength indicator  $IR(i)$  declaring the beginning runlength of that code word  $C(i)$ .

However, when an 8-bit data word  $D(i)$  is supplied to the other ROM tables 201b and 201c, only the 22-bit block containing the 16-bit code word  $C(i)$ , the 4 bits end runlength indicator  $ER(i)$ , and 2 bits next state indicator  $NS(i)$  is selected and output therefrom.

Referring back to Fig. 2, the controller 207 is connected to the external data word source (not shown) for receiving the data word  $D(i)$ , and is further connected to an external word clock source (not shown) directly and through the 1/93 frequency divider 208 to receive the word clock signal  $Swc$  and 1/93 frequency divided word clock, respectively, therefrom.

The third selector 202a is connected to each of primary tables  $Tp(m)$  in the ROM tables 201a, 201b, 201c, and 201d for receiving the 22-bit block therefrom. Similarly, the fourth selector 202b is connected to each primary table  $Tp(m)$  and further to each secondary table  $Ts(m)$  in ROM tables 201a, 201b, 201c, and 201d for receiving the 22-bit block therefrom, respectively. Note that one of 22-bit data is output in parallel from each of the ROM tables 201a, 201b, 201c and 201d to the third selector 202a, and however two of 22-bit data are output in parallel from each of the ROM tables 201a, 201b, 201c, and 201d to the fourth selector 202b, for compatibility with the look-ahead method, whereby code words  $C1(i)$  and  $C2(i)$  are temporarily stored when there are two code words  $C1(i)$  and  $C2(i)$  corresponding to one data word  $D(i)$ , as may occur

when the data word  $D(i)$  is are 87 or fewer. The third and fourth selectors 202a and 202b are both connected to the controller 207 for receiving the selector control signals therefrom.

5           The selectors 202a and 202b are controlled by the controller 207 to select one of the four ROM tables 201a, 201b, 201c, and 201d, and select only a single of the 22-bit data block out of four 22-bit data blocks ( $C(i)$ ,  $ER(i)$ , and  $NS(i)$ ) from the four ROM tables to output therefrom.

10          In other words, the controller 207 selects one of the four ROM tables 201a, 201b, 201c, and 201d based on the evaluation results returned by the evaluators 204a and 204b and the values stored  $NS(i)$  in the next-state memory 205a and 205b.

15           The first end-runlength memory 203a is connected to the first selector 202a for receiving the 4-bit end runlength indicator  $ER1(i)$  selectively outputted therefrom. Similarly, the second end-runlength memory 203b is connected to the second selector 202b for receiving the 4-bit end runlength indicator  $ER2(i)$  selectively outputted therefrom.

20           The first evaluator 204a is connected to state1 ROM table 201a (STATE1) for receiving two of 4-bit beginning runlength indicator  $IR(i)$  therefrom, and to the state4 ROM table 201d (STATE4) for receiving two of 4-bit beginning runlength indicator  $IR(i)$ . The first evaluator 204a is further connected to the first end-runlength memory 203a

for receiving the 4-bit end runlength indicator  $ER1(i)$  therefrom.

The first evaluators 204a adds the end runlength indicator  $ER1(i-1)$  stored by the first end-runlength memory 5 203a with the beginning runlength indicator  $IR(i)$  of the next code word  $C1(i)$ . This next code word  $C1(i)$  is outputted from the ROM tables 201a and 201d individually, and is to determine whether the total runlength thus obtained satisfies the (2, 10) runlength for both STATE1 10 and STATE4 constraints.

Similarly, the second evaluator 204b is connected to state1 ROM table 201a (STATE1) for receiving the 4-bit beginning runlength indicator  $IR(i)$  therefrom, and to the state4 ROM table 201d (STATE4) for receiving the 4-bit 15 beginning runlength indicator  $IR(i)$ . The second evaluator 204b is further connected to the second end-runlength memory 203b for receiving the 4-bit end runlength indicator  $ER2(i-1)$  therefrom.

The second evaluator 204b adds the end runlength 20 indicator  $ER(i)$  stored by the second end-runlength memory 203b with the beginning runlength indicator  $IR(i)$  of the next code word  $C2(i)$ . This next code word  $C2(i)$  is outputted from the ROM tables 201a and 201d individually, and is to determine whether the total runlength thus 25 obtained satisfies the (2, 10) runlength constraints for both STATE1 and STATE4. The first and second evaluators 204a and 204b are both connected to the controller 207 for



transferring the signals indicative evaluation result thereto.

The significance of the evaluation made by the evaluators 204a and 204b is described below.

5           As described above, the four ROM tables 201a, 201b, 201c, and 201d store the conversion tables shown in Fig. 3, and insofar as the state changes according to the rules shown in Fig. 12, the (2, 10) sequence constraints will be satisfied in the interconnection between successive code  
10 words. As a result it may seem that the evaluators 204a and 204b are not needed.

When the next state is state 1 (STATE1) or state 4 (STATE4), however, the state transition in the digital modulation apparatus of the present invention is not  
15 automatically determined as designated by the preceding code word C(i-1) statically. Note that the state transition dynamically determined to select either of STATE1 and STATE 4 whichever resulting in the best possible DSV control while (2,10) constraint satisfied in both case.

20           Thus, when the first evaluator 204a determines that the code word C1(i) can be selected or data word D(i) is 87 or fewer, the controller 207 sets DCC flag Fd1. Likewise, when the second evaluator 204b determines that the code word C2(i) can be selected or the data word D(i) is 87 or  
25 fewer, the controller 207 sets DCC flag Fd2.

The first next-state memory 205a is connected to the first selector 202a for receiving the 2-bit next state

indicator NS1(i) therefrom. The second next-state memory 205b is connected to the second selector 202b for receiving the 2-bit next state indicator NS2(i) therefrom. The first and second next-state memories 205a and 205b are both  
5 connected to the controller 207 for transferring the two 2-bit next state indicators NS1(i) and NS2(i) stored therein.

The first and second synchronization code generators 206a and 206b are both connected to the controller 207 for receiving the word clock signal Swc and  
10 the frequency-divided clock therefrom.

The frequency-divided clock is then used for the timing at which the synchronization clock is output from the synchronization code generators 206a and 206b. Note that while this synchronization code is the synchronization code  
15 used during reproduction, one synchronization code two code words long is inserted for every 91 code words. More specifically, the synchronization code is inserted on a 93 word clock cycle. Note that for the purposes of DSV control in the digital modulation apparatus of the present  
20 invention this synchronization code is handled in the same manner as a DSV-controllable code word.

The fifth selector 202c is connected to the third selector 202a for receiving the 16-bit code word C1(i) selectively outputted therefrom, to the first  
25 synchronization code generator 206a for receiving the synchronization code therefrom, and to the controller 207 for receiving a control signal therefrom. Similarly, the

sixth selector 202d is connected to the fourth selector 202b, the second synchronization code generator 206b, and the controller 207 for receiving the 16-bit code word C2(i), the synchronization code, and a control signal, respectively, therefrom.

Thus, the third selector 202a, first end-runlength memory 203a, the first evaluator 204a, the first next-state memory 205a, the first synchronization code generator 206a, and the fifth selector 202c construct a first pre-converting unit 101a for temporarily storing the input code data C1(i). The fourth selector 202b, second end-runlength memory 203b, the second evaluator 204b, the second next-state memory 205b, the second synchronization code generator 206b, and the sixth selector 202d construct a second pre-converting unit 101b for temporarily storing the code word C2(i), as described in the above.

The selectors 202c and 202d select and output either the code words from the selectors 202a and 202b, or the synchronization code from the synchronization code generator 206a and 206b.

The controller 207 consists of a CPU, ROM, and RAM, and controls determination of the next selectable state and the operating timing of each component. Detailed operation of the controller 207 is described below.

#### Operation of the digital modulation apparatus

The operation of the digital modulation apparatus comprised as above is described below. First, the

conceptual operation is described with reference to Fig. 4, and then the operation with a DC control routine is described with reference to Fig. 5 later.

Referring to Fig. 4, a flow chart of conceptual  
5 operation according to the present invention is shown.

When the operation starts, at step #1,  $i$  is set to 0, and  $m$  is set to 1. Thus, at the start of the operation, the byte counter ( $i$ ) is cleared, and the STATE1 indicated by the state index  $m$  is set.

10 At step #3, the data word  $D(i)$  in the  $(n+1)$ -th order is input.

At step #5, it is judged whether the value of data word  $D(i)$  is less than 88 or not. When it is judge "YES", meaning that the data words  $D(i)$ , the procedure advances to  
15 step #13.

At step #13, the data word  $D(i)$  is temporarily converted to first and second code word candidates  $C1(i)$  and  $C2(i)$  with the conversion tables  $Tp(m)$  and  $Ts(m)$  currently indicated the state index  $m$ . Then, the procedure  
20 advances to step #19.

At step #19, either one of two code word  $C1(i)$  and  $C2(i)$  produced at step #13, advantageous for reducing the DC component is selected. Then the byte counter ( $i$ ) is incremented at step #10, and the procedure advances to step  
25 #11.

At step #11, when it is judged whether all data words  $D$  had been converted or not. On the other hand, it

is judged "NO" at step #5, the procedure advances to step #7.

At step #7, it is judged whether the state number index is either one of 1 and 4, or not. When it is judged .  
5 "YES", meaning that conversion tables for STATE1 or STATE4 are currently used, the procedure advances to step #15.

At step #15, it is judged whether the currently adopted state number indexes 1 or 4 can be replaced by the other index 4 or 1, respectively. For example, when the  
10 conversion tables  $Tp(m=1)$  are currently selected, it is judged whether the conversion table  $Tp(m=4)$  can be used in stead of the currently selected tables  $Tp(m=1)$  without violating the runlength limitation, i.e., (2,10) constraint at the connecting part, or not. When it is judge "YES",  
15 the procedure advances to step #17.

At step #17, the data word  $D(i)$  is temporarily converted to the first code word candidate  $C1(i)$  with the conversion tables  $Tp(m=1)$  and to the second code word candidate  $C2(i)$  with the conversion tables  $Tp(m=4)$ . Then,  
20 the procedure advances to step #10.

However, when it is judged at step #7, it means that changing the currently selected conversion tables  $Tp(m=1$  or  $4)$  can not be replaced by other possible tables  $Tp(m=4$  or  $1)$ . The procedure advances to step #9.

25 At step #9, the data word  $D(i)$  is converted to the code word  $C(i)$  with the conversion tables  $Tp(m)$  currently

indicated by the state number index  $m$ . Then the procedure advances to step #11.

At step #11, when it is judged "YES", meaning that all data word  $D(i)$  had been converted, then the control  
5 terminates.

Referring to Fig. 5, the operation of the a digital modulation apparatus DMA of Fig. 1 is shown. Note that even those steps executed in parallel on the time base are for ease of description shown and described as serially  
10 executed on the time base.

The first step S401 is to initialize the control variables  $i=0$ ,  $m1=1$ , and  $m2=1$  where  $i$  is incremented in synchronization with data words  $D$  that are inputted to the digital modulation apparatus DMA, and is cleared when a DC  
15 control is performed.  $m1$  is the next state used to determine the code word  $C1(i)$  for the first look-ahead channel (the data bus from which the code words  $C1$  stored to the one buffer memory 105a are processed), and  $m2$  is the next state used to determine the code word  $C2$  for the look-  
20 ahead channel (the data bus from which the code words  $C2$  stored to the other buffer memory 105b are processed).

When one data word  $D(i)$  is then input to the preceding converter 101 (step S403), the DSV controller 108 determines whether the input data word  $D(i)$  is data word  
25 less than 88 (step S407).

If the input data word  $D(i)$  is data word less than 88, the DCC flags  $Fd1$  and  $Fd2$  are set, and then a DC

control routine is executed in step S411. Note that DC control routine is a typical look-ahead process executed when a DSV-controllable code word is selected, and is shown in the flow chart in Fig. 6.

5           More specifically, when the DSV controller 108 detects that DCC flag Fd1 or DCC flag Fd2 is set, the DSV values DSV1 and DSV2 stored in the DVS counters 103a and 103b are read and the absolute values thereof are compared (step S503). The buffer memory 105a or 105b storing code  
10       word sequence corresponding to the smaller of the two values is then selected, the code word sequence stored in the selected buffer memory is output from the digital modulation apparatus through the selector 107a and parallel-serial converter 102c (steps S505 and S511), and  
15       the DSV counters 103a and 103b and control variables (m1 or m2, and i) are updated (steps S507, S513, and S509).

Referring back to Fig. 5, when DC control routine is completed, the input data word D(i) is converted to the corresponding code word C1(i) from the primary conversion  
20       table of the state declared by m1, and stored to buffer memory 105a through the parallel-serial converter 102a. Then, the first digital sum variation DSV1 is calculated (step S413). The same input data word D(i) is  
simultaneously converted to the corresponding code word  
25       C2(i) from the secondary conversion table of the state declared by m2, and stored to buffer memory 105b through

the parallel-serial converter 102b. The second digital sum variation DSV2 is also calculated (step S415).

The information related to the next states obtained with the code words C1(i) and C2(i) is stored to the control variables m1 and m2 (steps S417 and S419).

If all necessary data words have been input (step S405), the code words accumulated by that time are output (step S425), and operation of the digital modulation apparatus terminates. If all data words have not been input (step S405), however, the variable i is incremented (step S421), and the apparatus awaits input of the next data word.

If it is determined in step S407 that the data word D(i) is greater than 87, it is determined whether the current m1 state on the first look-ahead channel is state 1 or state 4, and whether the runlength constraints will be violated if the state is switched between state 1 and state 4 (step S429). If the code words from states 1 and 4 can both be used, the m1 variable is set to 5, STATE5, (step S431), and DC control routine is executed (step S433). Note that setting m1 to state 5 is equivalent to setting the DCC flag Fd1 in Fig. 2.

However, if it is determined that the current m1 state on the first look-ahead channel cannot be either state 1 or state 4, this evaluation is repeated for look-ahead channel 2 (step S443). If it is thus determined that the data word D(i) to be converted can be converted to



either state 1 or state 4 on channel 2, and a DSV-controllable code word can be obtained, m2 is set to 5 (step S447), and DC control routine is executed (step S431). Note that setting m2 to 5 is equivalent to setting the DCC flag Fd2 in Fig. 2.

After DC control routine is completed (step S433), the state value of the first look-ahead channel m1 is evaluated (step S435). If m1 is 5, m1 is updated to 1 and m2 is updated to 4 (step S437); m1 is otherwise left unchanged.

If in step S435 it is determined that m1 is not 5, or if the process in step S437 is completed, the data word D(i) is converted to code word C1(i) from the primary conversion table associated with the state declared by m1 and stored to buffer memory 105a (step S439), and is simultaneously converted to code word C2(i) from the primary conversion table associated with the state declared by m2 and stored to buffer memory 105b (step S441). Note that the DSV values DSV1 and DSV2 are calculated at step S439 and S441, respectively.

By means of the process executed from steps S427 to S431 above, the dynamic control method of switching to state 1 (STATE1) or state 4 (STATE4) during conventional implementation of the EFMplus conversion technique is improved by means of the present invention. More specifically, if there is a transition to state 1 (STATE1) or state 4 (STATE4) in the digital modulation apparatus of

the present invention, the state transition is not automatically designated, but is intelligently selected so as to suppress the DC component in the output channel signal. In other words, it is determined whether the code word candidate enables DSV control, and if it does, the DSV-controllable code word is dynamically selected.

If the input data word  $D(i)$  does not correlate to a DSV-controllable code word, the data word is converted to a uniformly definable code word and stored in the buffer memories 105a and 105b (steps S449 - S441). However, because a maximum look-ahead count is set to an integral number  $B_c$ , e.g., 15, due to the storage capacity of the buffer memories 105a and 105b, remaining storage room of the buffer memories is also monitored (steps S449). Note that because one DSV-controllable synchronization code is inserted for every 91 code words, the step S449 can be eliminated by increasing the look-ahead count to 91 words.

Operation of the digital demodulation apparatus

Once data words are written by the digital modulation apparatus described above to a recording medium, it is necessary to read the recorded code words from the recording medium and demodulate the read code words to the original data words. This process is described below with reference to Fig. 7, a block diagram of a digital demodulation apparatus for this purpose.

Referring to Fig. 7, this digital demodulation apparatus DM' comprises a 13-bit shift register 501, 1-bit

latches 502a and 502b, a 16-bit shift register 503, a 16-bit latch 504, a 2-input OR gate 505a, a 2-input NOR gate 505b, 4-input OR gate 506, an AND circuit 507, a main decoder 508, a sub decoder 509, and a selector 510 that are mutually connected as shown. Note that this digital demodulation apparatus DM' corresponds to the reversed code converter 2003 shown in the digital modulation/demodulation apparatus shown in Fig. 8.

The main decoder 508 stores the correlation between all code words and data words for which there is no duplication, i.e., the correlation for which there is only one code word for each data word, and the duplicate correlation for which the next state is state 2. If the code word to be decoded is a code word belonging to either of these correlation sets, it can be decoded uniquely to the corresponding original data words.

The sub decoder 509 stores only the duplicate correlation for which the next state is state 3, and can thus uniquely decode code words belonging to this correlation set to the corresponding original data word.

The operation of the digital demodulation apparatus thus comprised is described below.

The code words read from the recording medium are input as a bit sequence to the 13-bit shift register 501, and are forwarded to the 16-bit shift register 503 when the next code word is input. The code word passed to the 16-bit shift register 503 at this time is considered below. Note

that inputting the code word to the 16-bit shift register 503 enables the AND circuit 507 to detect when the following conditions are satisfied.

The conditions tested by the AND circuit 507  
5 determine (1) if bit-1 Q0 and/or bit-13 Q12 in the next code word following the present code word is one, and (2) if bit-16 Q15 and bit-15 Q14 in the present code word are both zero, and (3) if any one bit from bit-11 Q10 to bit-14 Q13 in the present code word is one.

10 If both conditions (2) and (3) above are true, the end runlength of the present code word ranges from 2 to 5, inclusive. As a result, it is determined that the next code word is state 2 or state 3 as shown in Fig. 12. Condition (1) means that the next code word is not state 2 as will be  
15 known from Fig. 11. It can therefore be determined that the next code word is state 3 if all three conditions (1), (2), and (3) are true.

When the AND circuit 507 detects the above conditions to be true, it selects and outputs a data word  
20 from the sub decoder 509 by controlling the selector 510. When the above conditions are not true, the AND circuit 507 selects and outputs a data word from the main decoder 508.

As a result of this operation, code words read from the recording medium are uniquely decoded to the original  
25 data word by means of the main decoder 508 or the sub decoder 509 irrespective of the state used for modulation and irrespective of whether a duplicate code word is used.

It should be noted that while the digital modulation apparatus of the preferred embodiments are described as implementing a (2, 10; 8, 16) sequence constraint conversion, the present invention shall not be limited to these constraint values. It will be obvious to those skilled in the art that the present invention can also be applied to fixed-length code word conversion converting data words of a fixed length to code words having a constant runlength constraint.

The digital modulation apparatus of the preferred embodiments is also described using a look-ahead method for DSV control, but the present invention shall not be so limited and other DSV control techniques may be applied. The present invention does not relate specifically to the method of controlling the DSV, but is characterized by enabling DSV control in conversions that do not allow for DSV control in conventional (EFMplus) conversion methods.

Furthermore, the digital modulation apparatus of the present embodiments uses ROM tables for the conversion tables, but can alternatively use random logic combinational circuits as the storage means.

Furthermore, the digital modulation apparatus of the present embodiments selects the code word resulting in the lowest absolute value in the final DSV during DSV control as a means of suppressing the low frequency component in the NRZI signal, but the same effect can be achieved by selecting the code word resulting in the lowest

peak value of the absolute DSV values during the look-ahead period, or selecting the code word resulting in the lowest sum of squares of the DSV, i.e., the lowest DSV distribution, at each time point during the look-ahead  
5 period.

It should also be noted that while the state1 (STATE1) to state4 (STATE4) ROM tables 201a to 201d assign 26 bits to each code word, the 4 bits declaring the initial runlength of the state2 (STATE2) and state3 (STATE3) ROM  
10 tables 201b and 201c do not affect operation and can be omitted. In addition, the 4 bits declaring the end runlength when the next state is state 2 (STATE2) or state 3 (STATE3) can also be similarly omitted.

A digital modulation apparatus DMA according to  
15 Claim 1 and a digital modulation method according to Claim 5 for converting data words to runlength-limited code words comprise a storage means for storing plural types of conversion tables of code words corresponding to all possible data words, a selection means or step,  
20 respectively, for selecting from among the plural conversion tables the next conversion table to be used based on the code word obtained from the immediately preceding conversion, and a reading means or step, respectively, for reading and outputting the code word  
25 corresponding to the data word to be converted from the conversion table selected by the selection means.

The selection means or step selects the conversion tables that convert the data words to code words whereby the runlength constraints are also satisfied in the interconnection between the code word obtained by the immediately preceding conversion and the code word obtained by the following conversion, and assigns the code word resulting in the most favorable digital sum variation (DSV) in the signal after the code words are non-return-to-zero inverted (NRZI) converted when there are plural conversion tables whereby the next code word can be assigned such that both said code words can be decoded to the original data words.

When a data word to be converted is supplied to this digital modulation apparatus or method, one of plural predefined conversion tables is selected. The selected conversion table is the table whereby the data word is converted to the code word achieving the greatest suppression of the low frequency component in the resulting NRZI signal insofar as the runlength constraints are satisfied in the interconnection between the code word converted immediately before and the code word obtained from the following conversion. As a result, the conversion table used for the next conversion is not determined automatically according to the designation by the code word obtained from the immediately preceding conversion, but is determined intelligently to obtain the code word resulting

in the greatest low frequency component suppression in the NRZI signal.

In the digital modulation apparatus according to Claim 2 and the digital modulation method according to Claim 6, each of the plural conversion tables is characterized by correlating to all data words only those code words of which the initial runlength is within a specific range defined for each type of conversion table, and plural code words correlate to a specific data word; and the selection means (method) is characterized by selecting the conversion table based only on the code word converted immediately before when the data word is one of the preceding specific data words, and the code word is selected so that the digital sum variation (DSV) in the signal obtained by NRZI-converting the converted code word is in an optimum state.

With this digital modulation apparatus and method the conversion tables are compiled so that plural code words correlate to a specific data word, and when one of those specific data words is supplied, the code word obtaining the best-state DSV in the final NRZI signal is selected. As a result, the apparatus and method of the present invention more effectively suppress the low frequency component of the NRZI signal.

In the digital modulation apparatus according to Claims 3 and 4 and the digital modulation method according to Claims 7 and 8, the selection means (method) comprises a



first memory unit and a second memory unit with capacity for storing plural consecutive code words; an evaluation means (method) for determining whether there are two types (first and second) of conversion tables containing code words satisfying the sequence constraints in the interconnection between the code word obtained from the immediately preceding conversion and the code word obtained from the following conversion; a storage means (method) for storing to the first memory unit the code word contained in the first conversion table and the following code word, and storing to the second memory unit the code word contained in the second conversion table and the following code word, when it is determined by the evaluation means that there are two usable conversion tables; a comparison means (method) that compares the magnitude of the absolute value of a first look-ahead DSV calculated from the code words obtained to that point and stored in the first memory unit with the magnitude of the absolute value of a second look-ahead DSV calculated from the code words obtained to that point and stored in the second memory unit when it is determined by the evaluation means that there are two usable conversion tables producing the code word following at least the code word sequence stored to the first memory unit or the code word sequence stored to the second memory unit; a look-ahead selection means (method) that selects the first code word sequence as the more preferable conversion table when the comparison means determines that

the absolute value of the first look-ahead DSV is smaller,  
and selects the second code word sequence as the more  
preferable conversion table when the comparison means  
determines that the absolute value of the first look-ahead  
5 DSV is not smaller.

When it is possible to select two different  
conversion tables for a single specific data word to be  
converted, the apparatus and method of this embodiment do  
not specify the conversion table to be used based on the  
10 DSV of the code words output to that point, but specify the  
conversion table to use after considering the DSV of the  
code words that may be obtained by the time a state in  
which two conversion tables can be selected occurs again.  
This look-ahead method controls low frequency component  
15 suppression with consideration for the code words that may  
result from subsequent conversions, and therefore has a  
greater effect suppressing the low frequency component of  
the NRZI signal when compared with methods considering only  
the code words that have already been selected.

20 The recording medium according to Claim 7 and the  
digital modulation methods according to Claims 4, 5, and 6  
are characterized by the data words being recorded.

With this recording medium, the data words recorded  
thereto are arrayed so that constant runlength constraints  
25 are satisfied and a constant low frequency component  
suppression can be achieved. Recording media to which data  
words are thus recorded exhibit the following effects.

Specifically, the apparatus for reproducing this recording medium can read a NRZI signal in which the low frequency component is effectively suppressed. Thus, the probability of read errors caused by threshold value fluctuations occurring when this signal is digitized is effectively suppressed below a constant value, and the probability of variations in the servo error signal occurring during reproduction is also effectively suppressed.

As apparent from the above, when a data word to be converted is supplied to a digital modulation apparatus or method according to Claim 1 or Claim 5, respectively, one of plural predefined conversion tables is selected where the selected conversion table is that from which a code word satisfying the runlength constraints and resulting in the greatest low frequency component suppression in the final NRZI signal can be selected. The selected conversion table is then used to convert the data word to a code word.

It is therefore possible to obtain a code word enabling maximum suppression of the low frequency component in the final NRZI signal without reducing the recording density as occurs with conventional conversion methods such as EFMplus in which the selection of the next conversion table from among plural conversion tables is automatically designated by the previous code word.

With the digital modulation apparatus or method according to Claim 2 or Claim 6, respectively, plural code words correlate to each of data words within a specific

range, and data words are converted to code words based on conversion tables in which the code words are uniformly defined to suppress the low frequency component. The effect of this operation is to suppress the low frequency  
5 component more efficiently than methods in which there is only one code word correlating to each data word even though the complexity of the processing operation is simplified.

Low frequency component suppression control is  
10 accomplished using a look-ahead method in the digital modulation apparatus or method according to Claims 3 and 4 or Claims 7 and 8, respectively. The low frequency component is thus suppressed more than with suppression techniques which only considered the currently obtained  
15 code words.

The recording medium according to Claims 9, 10, 11, and 12 records the NRZI signal obtained by the digital modulation method of Claims 5, 6, 7, or 8, i.e., a signal in which the low frequency component is suppressed more  
20 than it is by conventional modulation methods. Fluctuations in the threshold value when digitizing the reproduction signal, and variations resulting from the servo error signal, are therefore suppressed in the apparatus used to reproduce this recording medium.

25 It is therefore possible by means of the present invention thus described to achieve a high quality recording medium characterized by a high recording density

and a low error rate during both writing and reading operations, and to achieve a digital modulation apparatus for producing said recording medium.

Although the present invention has been fully  
5 described in connection with the preferred embodiments thereof with reference to the accompanying drawings, it is to be noted that various changes and modifications are apparent to those skilled in the art. Such changes and modifications are to be understood as included within the  
10 scope of the present invention as defined by the appended claims unless they depart therefrom.

#### **Industrial Applicability**

As described above, the conversion table to be used for the next conversion is determined intelligently so as  
15 to suppress the low frequency component in the resulting NRZI signal, when compared with the conventional eight-to-sixteen conversion methods. Thus, the probability of variations in the servo error signal occurring during reproductions is also effectively suppressed, while the  
20 processing operation is more simplified.

Therefore, the present invention can be applicable to a digital modulation and demodulation apparatuses used for a high quality recording and reproduction with a high density and a low error rate. Various advanced digital  
25 recording and reproducing systems such as a DVD, DVC, DAT, and so on, currently available, and any coming digital recording and reproducing apparatus requiring a high

quality recording medium can receive great benefits from the present invention.

## CLAIMS

1. A digital modulation apparatus (DMA) for converting data words (D) to runlength-limited code words (C) comprising:

5 storage means (201a, 201b, 201c, and 201d) for storing plural sets of conversion tables (Tp(m) and Ts(m)), correlating said code words (C) to said data words (D),

a selection means (202a, 202b, and 207) for selecting from among said plural conversion tables (Tp(m) and Ts(m)) the next conversion table (Tp(m)/Ts(m)) to be used based on said code word (C(i-1)) obtained from the immediately preceding conversion, and

a reading means (101) for reading and outputting the code word (C(i)) corresponding to the data word (D(i)) to be converted from the conversion table (Tp(m) and Ts(m)) selected by said selection means (202a, 202b, and 207),

whereby said selection means (202a, 202b, and 207) selects a set of conversion table (Tp(m)/Ts(m)) that converts the data word (D(i)) to the code word (C(i)) so that the runlength constraint is also satisfied in the interconnection between the code word (C(i-1)) obtained by the immediately preceding conversion and the code word (C(i)) obtained by the following conversion, and when there are plural sets of conversion tables (Tp(1) and Tp(4)) each of which assigns the code words (C(i)) being able to be decoded to the original data word (D(i)), the conversion table (Tp(m) and Ts(m)) selected is the conversion table

(Tp(m) and Ts(m)) assigning the code word (C(i)) resulting in the most favorable digital sum variation (DSV) in the signal after the code words (C(i)) are non-return-to-zero inverted (NRZI) converted.

5        2.        A digital modulation apparatus (DMA) as claimed in Claim 1, wherein each of said plural sets of conversion tables (Tp(m) and Ts(m)) correlates only those code words (C) of which the initial runlength (IR(i)) is within a range defined (Fig. 11) for each type of conversion table  
10        (Tp(m) and Ts(m)) to corresponding data words (D), and correlates plural code words (C) to each of particular data words (D), and

              said selection means (202a, 202b, and 207) selects a set of conversion table (Tp(m) and Ts(m)) based only on  
15        the code word (C(i)) converted immediately before when the data word (D(i)) is said particular data word (D(i)), and selects the code word (C(i)) resulting in the most favorable digital sum variation (DSV) in the signal after NRZI conversion of the converted code word (C(i)).

20        3.        A digital modulation apparatus (DMA) as claimed in Claim 1, wherein said selection means (202a, 202b, and 207)) comprises:

              a first memory (105a) and a second memory (105b) with capacity for storing plural consecutive code words C);

25        an evaluation means (#7 and #15) for determining whether there are two conversion tables of different sets (Tp(1) and Tp(4)) each of which contains the code word



(C1(i) and C2(i)) satisfying the runlength constraints in the interconnection between the code word (C(i-1)) obtained from the immediately preceding conversion and the code word (C(i)) obtained from the following conversion;

5           a storage means (106a, 106b, 107b, 108, 109, and 110) for storing to said first memory (105a) the code word (C1(i)) contained in the first conversion table (Tp(1)) and the code word (C2) following thereafter, and storing to said second memory (105b) the code word C2(i) contained in  
10           the second conversion table (Tp(4)) and the code word following thereafter, when it is determined by said evaluation means (#7 and #15) that there are two usable conversion tables (Tp(1) and Tp(4));

          a comparison means (S503) that compares the  
15           magnitude of the absolute value of a first look-ahead DSV (DSV1) calculated from the code words (C1) obtained to that point and stored in said first memory (105a) with the magnitude of the absolute value of a second look-ahead DSV (DSV2) calculated from the code words (C2) obtained to that  
20           point and stored in said second memory (105b) when it is determined by said evaluation means (#7 and #15) that there are two usable conversion tables (Tp(1) and Tp(4)) producing the code word following at least the code word sequence stored to said first memory (105a) or the code  
25           word sequence stored to said second memory (105b); and  
          a look-ahead selection means (S503, S505, S507, S511, and S513) that selects (S507) a first code word

sequence as the more preferable code word sequence when said comparison means (S503) determines that the absolute value of said first look-ahead DSV (DSV1) is smaller, and selects (S513) a second code word sequence as the more preferable code word sequence when said comparison means (S503) does not determine that the absolute value of said first look-ahead DSV (DSV1) is smaller.

4. A digital modulation apparatus (DMA) as claimed in Claim 2, wherein said selection means (202a, 202b, and 207)) comprises:

a first memory (105a) and a second memory (105b) with capacity for storing plural consecutive code words (C);

an evaluation means (#7 and #15) for determining whether there are two conversion tables of different sets (Tp(1) and Tp(4)) each of which contains the code word (C1(i) and C2(i)) satisfying the runlength constraints in the interconnection between the code word (C(i-1)) obtained from the immediately preceding conversion and the code word (C(i)) obtained from the following conversion;

a storage means (106a, 106b, 107b, 108, 109, and 110) for storing to said first memory (105a) the code word (C1(i)) contained in the first conversion table (Tp(1)) and the code word (C2) following thereafter, and storing to said second memory (105b) the code word C2(i) contained in the second conversion table (Tp(4)) and the code word following thereafter, when it is determined by said

evaluation means (#7 and #15) that there are two usable conversion tables (Tp(1) and Tp(4));

a comparison means (S503) that compares the magnitude of the absolute value of a first look-ahead DSV (DSV1) calculated from the code words (C1) obtained to that point and stored in said first memory (105a) with the magnitude of the absolute value of a second look-ahead DSV (DSV2) calculated from the code words (C2) obtained to that point and stored in said second memory (105b) when it is determined by said evaluation means (#7 and #15) that there are two usable conversion tables (Tp(1) and Tp(4)) producing the code word following at least the code word sequence stored to said first memory (105a) or the code word sequence stored to said second memory (105b); and

a look-ahead selection means (S503, S505, S507, S511, and S513) that selects (S507) a first code word sequence as the more preferable code word sequence when said comparison means (S503) determines that the absolute value of said first look-ahead DSV (DSV1) is smaller, and selects (S513) a second code word sequence as the more preferable code word sequence when said comparison means (S503) does not determine that the absolute value of said first look-ahead DSV (DSV1) is smaller.

5. A digital modulation method for converting data words (D(i)) to code words (C(i)) using plural sets of conversion tables (Tp(m) and Ts(m)) of runlength-limited

code words corresponding to all sorts of data words (D)  
comprising the steps of:

selecting (#13, #17, and #19) from among said  
plural sets of conversion tables (Tp(m) and Ts(m)) the next  
5 conversion table (Tp(m) and Ts(m)) to be used based on the  
code word (C(i-1)) obtained from the immediately preceding  
conversion, and

reading and outputting (#19) the code word (C(i))  
corresponding to the data word (D(i)) to be converted from  
10 the conversion table (Tp(m)/Ts(m)) selected by said  
selecting step (#13, #17, and #18),

whereby said selecting step selects (S503, S507,  
S513) the conversion table (Tp(m)/Ts(m)) that converts the  
data word (D(i)) to code word (C(i)) whereby the runlength  
15 constraint is also satisfied in the interconnection between  
the code word (C(i-1)) obtained by the immediately  
preceding conversion and the code word (C(i)) obtained by  
the next conversion, and

when (#7 and #15) there are plural conversion  
20 tables each of which assigns the code word (C(i)) being  
able to be decoded to the original data word (D(i)), the  
conversion table (Tp(1)/Tp(4)) selected is the conversion  
table assigning the code word (C(i)) resulting in the most  
favorable digital sum variation (DSV) in the signal after  
25 the code words (C(i)) are non-return-to-zero inverted  
(NRZI) converted.

6. A digital modulation method as claimed in Claim 5,  
wherein each of said plural types of conversion tables  
( $T_p(m)$  and  $T_s(m)$ ) correlates only those code words ( $C$ ) of  
which the initial runlength ( $IR(i)$ ) is within a range (Fig.  
5 11) defined for each type of conversion table ( $T_p(m)$  and  
 $T_s(m)$ ) to corresponding data words ( $D$ ), and correlates  
plural code words ( $C_1(i)$  and  $C_2(i)$ ) to each of particular  
data words ( $D(i):0-87$ ), and  
said selecting step (#13, #17, and #19) selects  
10 the set of conversion tables ( $T_p(m)$  and  $T_s(m)$ ) based only  
on the code word ( $C(i)$ ) converted immediately before when  
the data word ( $D(i)$ ) is said particular data word ( $D(i):0-87$ ), and selects the code word ( $C_1(i)$  or  $C_2(i)$ ) resulting  
in the most favorable digital sum variation in the signal  
15 after NRZI conversion of the converted code word ( $C_1(i)$  or  
 $C_2(i)$ ).

7. A digital modulation method as claimed in Claim 4,  
wherein said selecting step (#13, #17, #19) determines  
whether there are first and second conversion tables ( $T_p(1)$   
20 and  $T_p(4)$ ) containing code words ( $C_1(i)$ ) satisfying the  
runlength constraints in the interconnection between the  
code word ( $C(i-1)$ ) obtained from the immediately preceding  
conversion and the code word ( $C(i)$ ) obtained from the  
following conversion; and further comprising the step of:  
25 storing to a first memory (105a) the code word  
( $C_1(i)$ ) contained in said first conversion table ( $T_p(1)$ )  
and the code word ( $C$ ) following thereafter, and to a second

memory (105b) the code word (C2(i)) contained in said  
second conversion table (Tp(4)) and the code word (C)  
following thereafter, when it is determined (#7 and #15)  
that there are two usable conversion tables (Tp(1) and  
5 Tp(4));

comparing (S503) the magnitude of the absolute  
value of a first look-ahead DSV (DSV1) calculated from the  
code words (C1) obtained to that point and stored in said  
first memory (105a) with the magnitude of the absolute  
10 value of a second look-ahead DSV (DSV2) calculated from the  
code words (C2) obtained to that point and stored in said  
second memory (105b) when it is determined (#7) that there  
are two usable conversion tables (Tp(1) and Tp(4))  
producing the code word (C(i)) following at least the code  
15 word sequence stored to said first memory (105a) or the  
code word sequence stored to said second memory (105b); and

selecting said first code word sequence as the more  
preferable code word sequence when it is determined (S503)  
that the absolute value of said first look-ahead DSV (DSV1)  
20 is smaller, and said second code word sequence as the more  
preferable code word sequence when it is not determined  
(S503) that the absolute value of the first look-ahead DSV  
is smaller.

8. A digital modulation method as claimed in Claim 5,  
25 wherein said selecting step (#13, #17, #19) determines  
whether there are first and second conversion tables (Tp(1)  
and Tp(4)) containing code words (C1(i)) satisfying the

runlength constraints in the interconnection between the code word (C(i-1)) obtained from the immediately preceding conversion and the code word (C(i)) obtained from the following conversion; and further comprising the step of:

5           storing to a first memory (105a) the code word (C1(i)) contained in said first conversion table (Tp(1)) and the code word (C) following thereafter, and to a second memory (105b) the code word (C2(i)) contained in said second conversion table (Tp(4)) and the code word (C) following thereafter, when it is determined (#7 and #15) that there are two usable conversion tables (Tp(1) and Tp(4));

          comparing (S503) the magnitude of the absolute value of a first look-ahead DSV (DSV1) calculated from the code words (C1) obtained to that point and stored in said  
15           first memory (105a) with the magnitude of the absolute value of a second look-ahead DSV (DSV2) calculated from the code words (C2) obtained to that point and stored in said second memory (105b) when it is determined (#7) that there  
20           are two usable conversion tables (Tp(1) and Tp(4))

producing the code word (C(i)) following at least the code word sequence stored to said first memory (105a) or the code word sequence stored to said second memory (105b); and

          selecting said first code word sequence as the more  
25           preferable code word sequence when it is determined (S503) that the absolute value of said first look-ahead DSV (DSV1) is smaller, and said second code word sequence as the more

preferable code word sequence when it is not determined (S503) that the absolute value of the first look-ahead DSV is smaller.

9. A recording medium (OH) for carrying data  
5 words (D(i)) thereon by means of the digital modulation method according to Claim 5.

10. A recording medium (OH) for carrying data words (D(i)) thereon by means of the digital modulation method according to Claim 6.

10 11. A recording medium (OH) for carrying data words (D(i)) thereon by means of the digital modulation method according to Claim 7.

12. A recording medium (OH) for carrying data words (D(i)) by means of the digital modulation method  
15 according to Claim 8.



Fig. 1

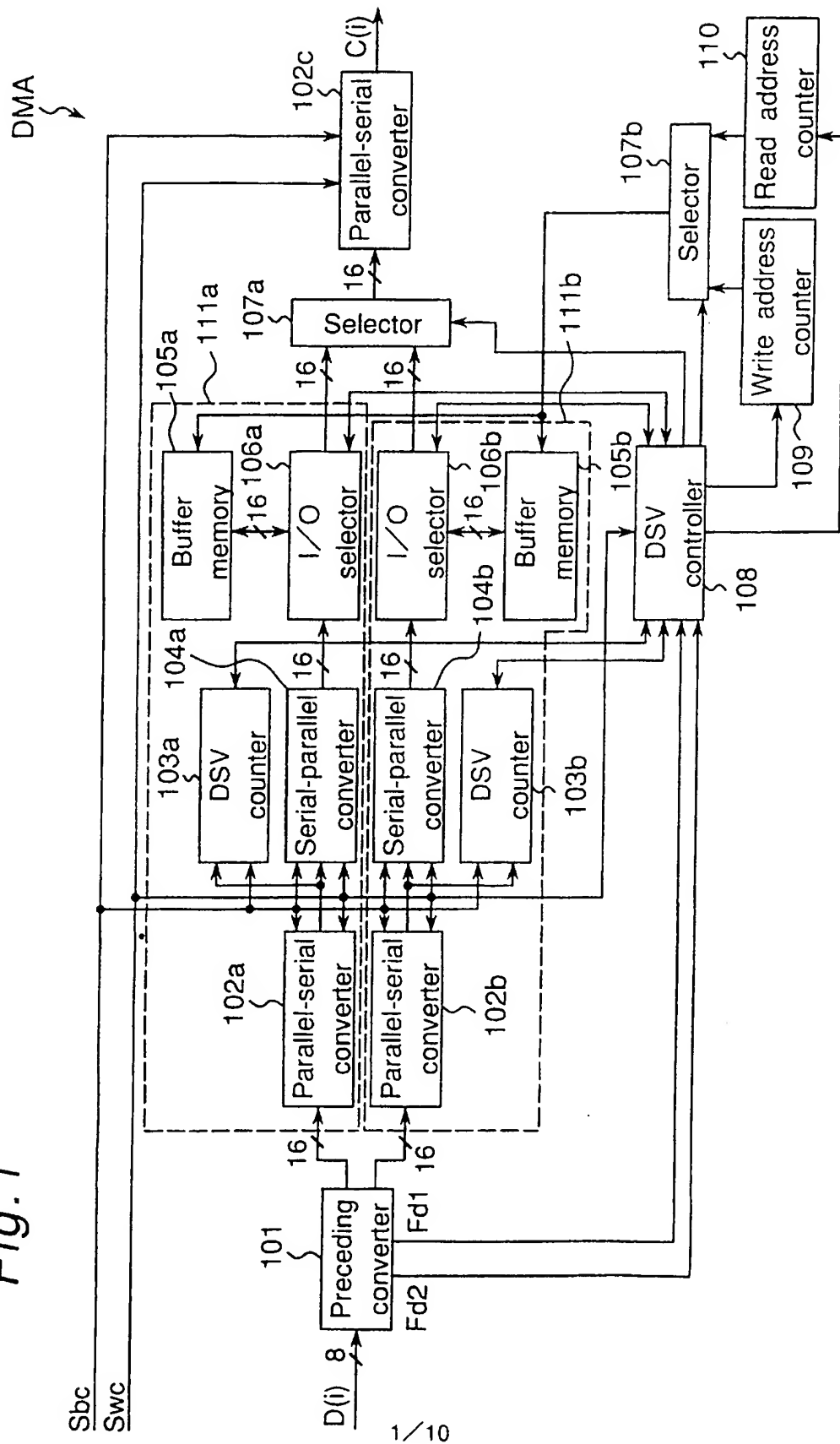


Fig. 2

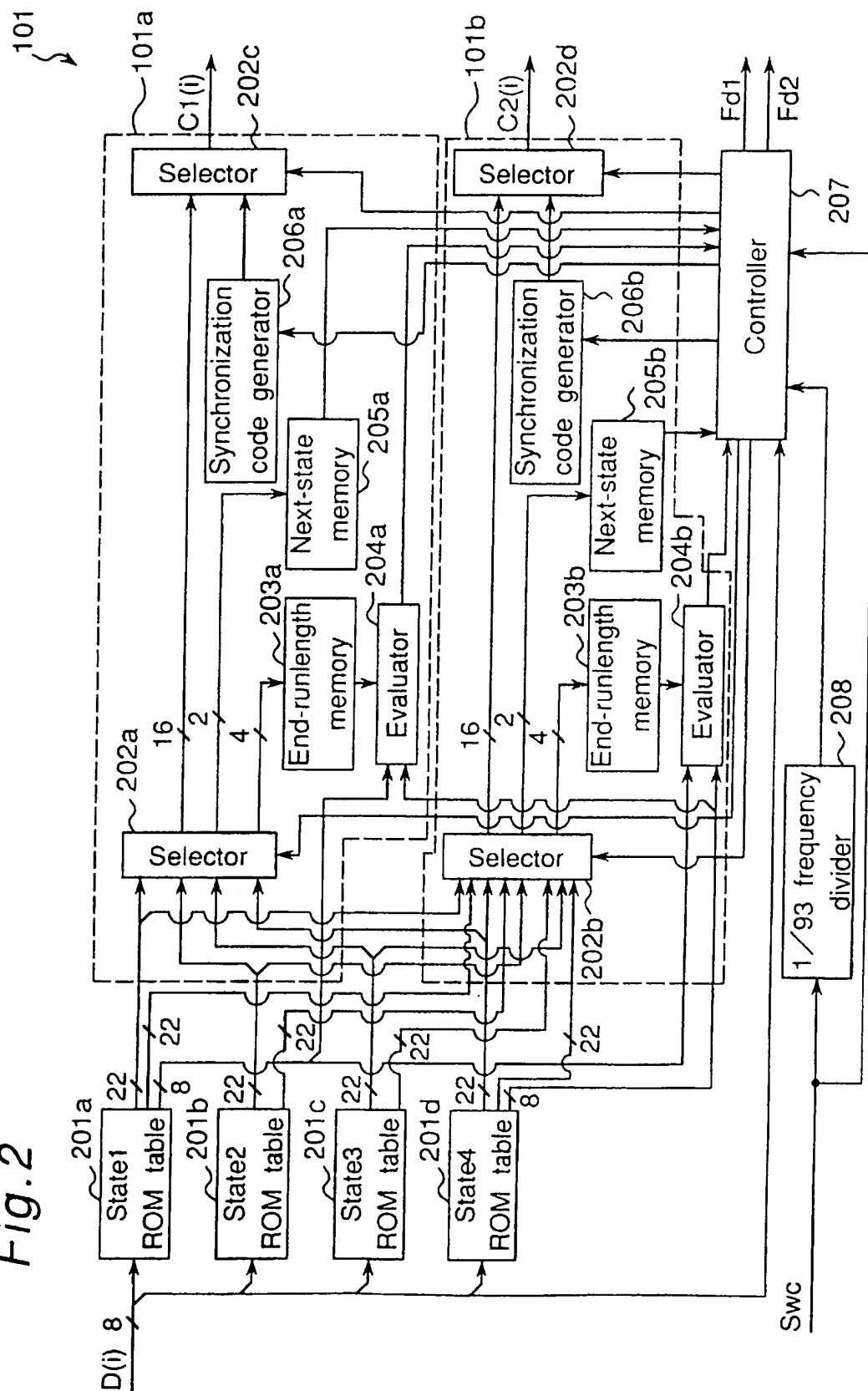


Fig.3

STATE1	Tp(m=1)					Ts(m=1)				
	D	C	NS	IR	ER	D	C	NS	IR	ER
	00000000	0001000000001001	1	3	0	00000000	0001000100000000	4	3	8
	00000001	0000001000000100	2	6	2	00000001	0010001000000010	1	2	1
	11111110	0000100001000000	4	4	6	01010111	0000010000100001	1	5	0
	11111111	0010000001000010	1	2	1					
STATE2	Tp(m=2)					Ts(m=2)				
	D	C	NS	IR	ER	D	C	NS	IR	ER
	00000000	0100000000100010	1	1	1	00000000	0001000100000000	4	3	8
	00000001	0010000000010000	3	2	4	00000001	0010001000000010	1	2	1
	11111110	0000100001000000	4	4	6	01010111	0000010000100001	1	5	0
	11111111	0010000001000010	1	2	1					
STATE3	Tp(m=3)					Ts(m=3)				
	D	C	NS	IR	ER	D	C	NS	IR	ER
	00000000	0001000000001001	1	3	0	00000000	1001000000000100	2	0	2
	00000001	1000000010000100	2	0	2	00000001	0010010000001001	1	2	0
	11111110	1000000010000000	4	0	7	01010111	1000100000100010	1	0	1
	11111111	1000000001000100	3	0	2					
STATE4	Tp(m=4)					Ts(m=4)				
	D	C	NS	IR	ER	D	C	NS	IR	ER
	00000000	0100000000100010	1	1	1	00000000	1001000000000100	2	0	2
	00000001	1000000010000100	2	0	2	00000001	0100001000000010	1	1	1
	11111110	1000000010000000	4	0	7	01010111	1000100000000010	1	0	1
	11111111	1000000001000100	3	0	2					

Fig.4

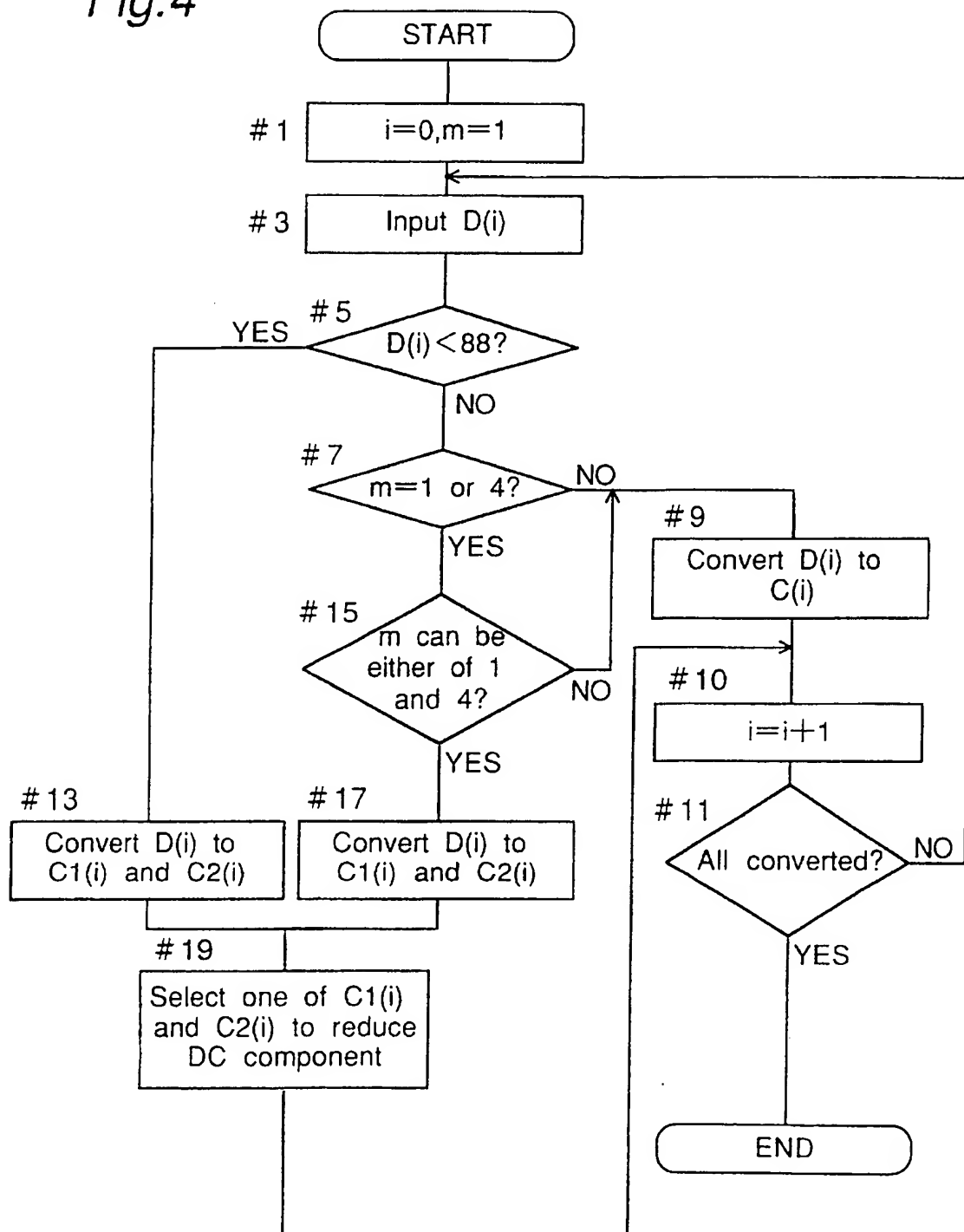


Fig.5

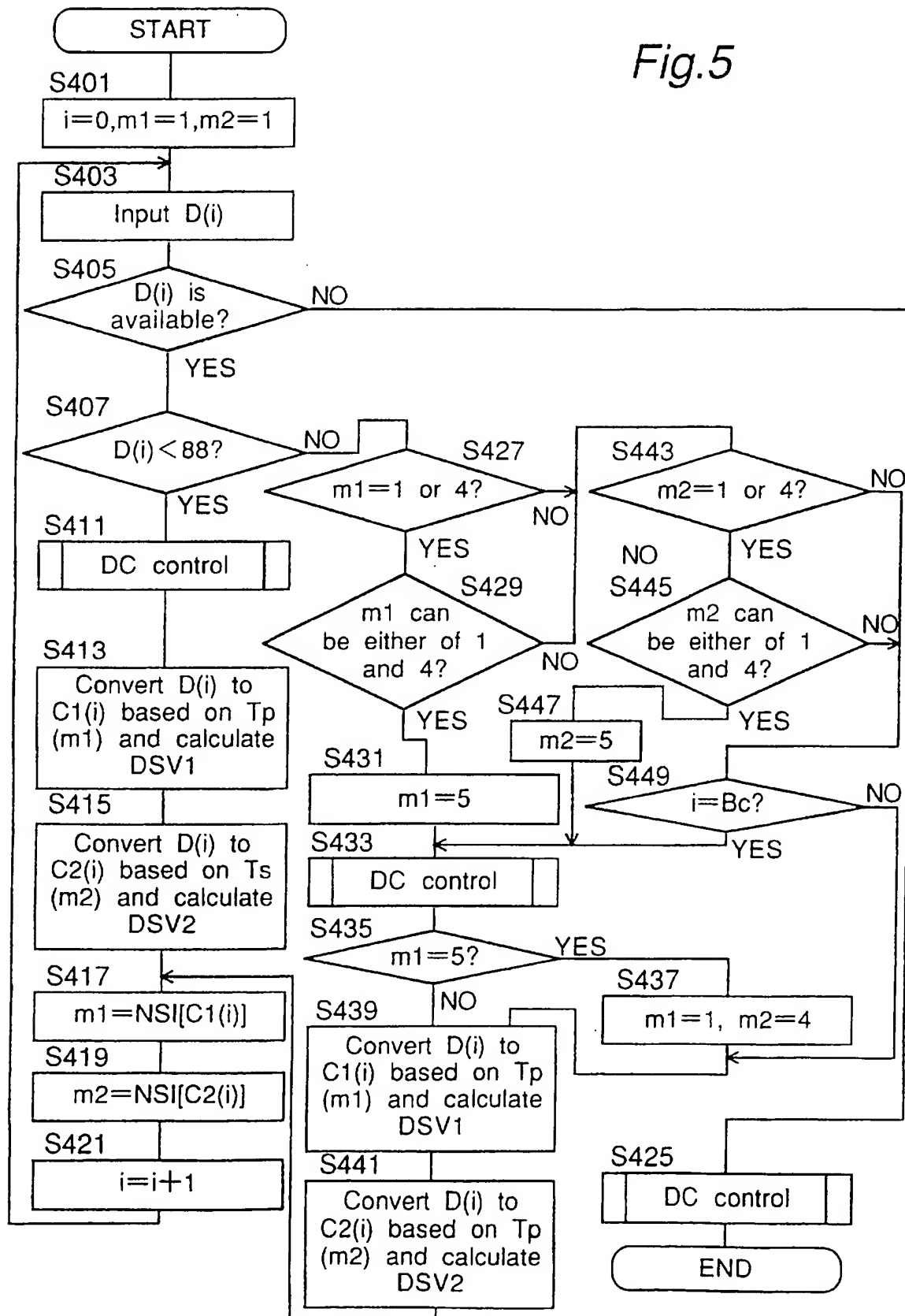


Fig.6

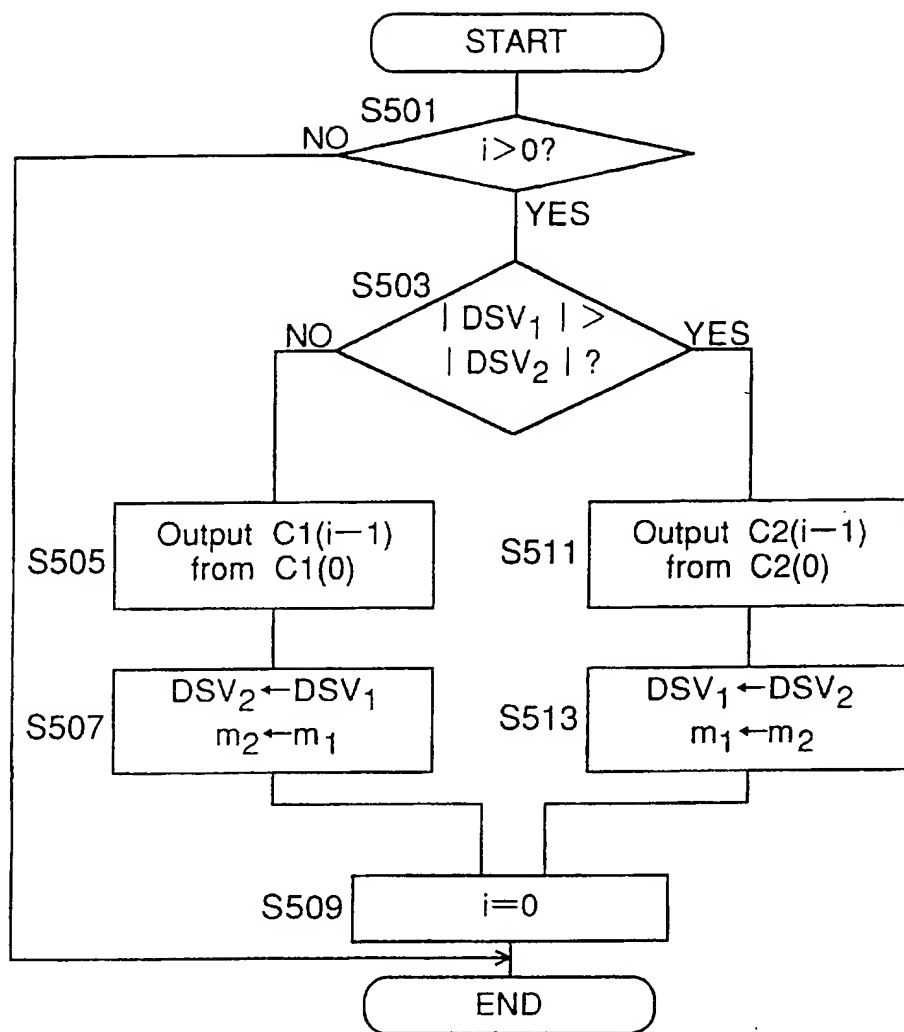


Fig. 7

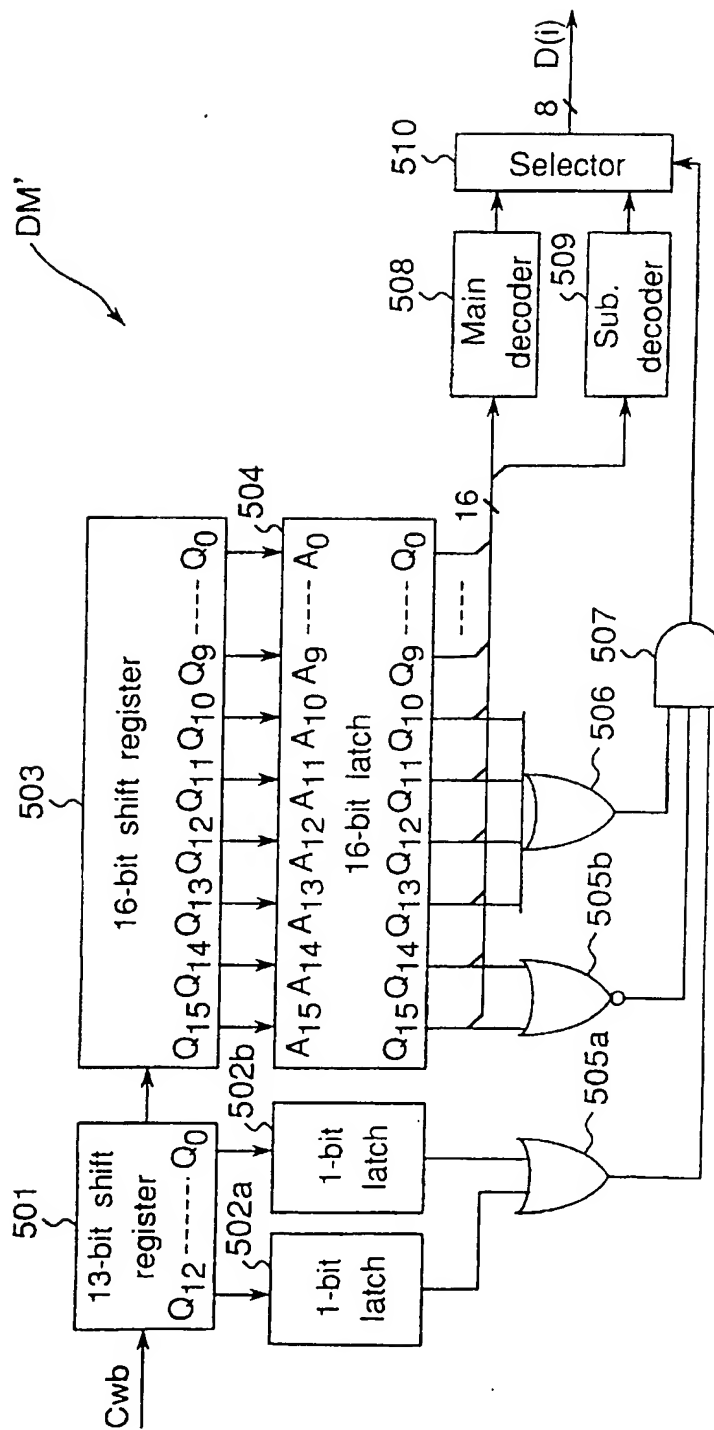


Fig.8 PRIOR ART

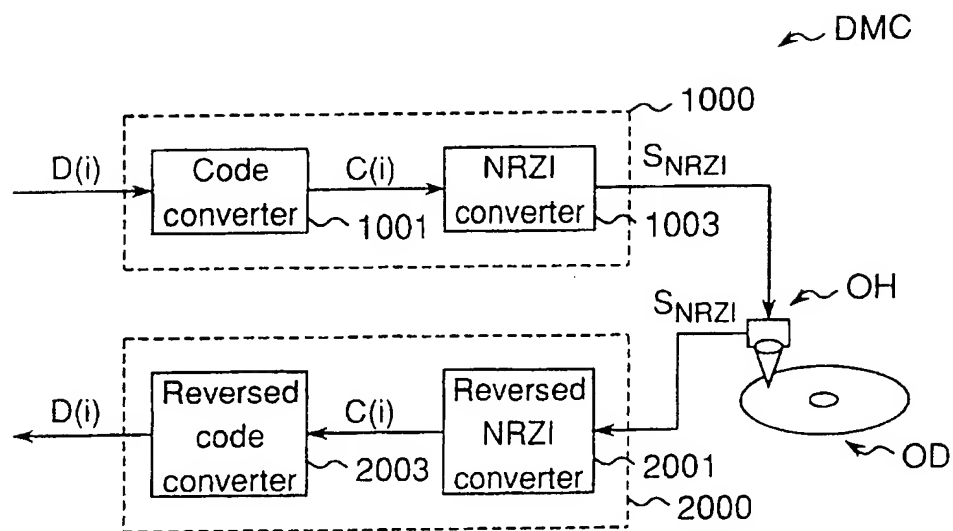


Fig.9 PRIOR ART

D(i),8bit	0	1	0	0	1	1	0	1								
C(i),16bit	0	0	1	0	0	0	0	0	1	0	0	0	0	1	0	0
S <sub>NRZI</sub>																



Fig.10 PRIOR ART

STATE1	Tpc(m=1)			Tsc(m=1)		
	D	C	NS	D	C	NS
	00000000	0010000000001001	1	00000000	0001000100000000	4
	00000001	0000010000000100	2	00000001	0010001000000010	1
	⋮	⋮	⋮	⋮	⋮	⋮
	11111110	0000010000000100	3	01010111	0000010000100001	1
	11111111	0010000001000010	1			
STATE2	Tpc(m=2)			Tsc(m=2)		
	D	C	NS	D	C	NS
	00000000	0100000000100010	1	00000000	0001000100000000	4
	00000001	0000010000000100	2	00000001	0010001000000010	1
	⋮	⋮	⋮	⋮	⋮	⋮
	11111110	0000010000000100	3	01010111	0000010000100001	1
	11111111	0010000001000010	1			
STATE3	Tpc(m=3)			Tsc(m=3)		
	D	C	NS	D	C	NS
	00000000	0001000000001001	1	00000000	1001000000000100	2
	00000001	1000000010000100	2	00000001	0010010000001001	1
	⋮	⋮	⋮	⋮	⋮	⋮
	11111110	1000000010000000	4	01010111	1000100000000010	1
	11111111	1000000001000100	3			
STATE4	Tpc(m=4)			Tsc(m=4)		
	D	C	NS	D	C	NS
	00000000	0100000000100010	1	00000000	1001000000000100	2
	00000001	10000000010000100	2	00000001	0100001000000010	1
	⋮	⋮	⋮	⋮	⋮	⋮
	11111110	10000000010000000	4	01010111	1000100000000010	1
	11111111	10000000001000100	3			

*Fig.11 PRIOR ART*

Conversion Table	Number of zero at the code top(NZCT)	1st & 13rd bit
Tpc(m=1) & Tsc(m=1)	$2 \leq \text{NZCT} \leq 9$	'XX'
Tpc(m=2) & Tsc(m=2)	$1 \leq \text{NZCT} \leq 5$	'00'
Tpc(m=3) & Tsc(m=3)	$0 \leq \text{NZCT} \leq 5$	not '00'
Tpc(m=4) & Tsc(m=4)	0 or 1	'XX'

*Fig.12 PRIOR ART*

Number of zero at the code end (NZCE)	Next state
0 or 1	STATE1
$2 \leq \text{NZCE} \leq 5$	STATE2 or STATE3
$6 \leq \text{NZCE} \leq 9$	STATE4

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/JP 96/03626

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H03M5/14 G11B20/14

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H03M G11B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 597 443 A (SONY CORP) 18 May 1994 see abstract see column 2, line 31 - column 4, line 25 see column 6, line 6 - column 8, line 57 see column 14, line 10 - column 15, line 41 see claims 26-29 --- -/--	1,5

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search

14 April 1997

Date of mailing of the international search report

06.05.97

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Authorized officer

Schiwy-Rausch, G

# INTERNATIONAL SEARCH REPORT

Inter    nal Application No  
PCT/JP 96/03626

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>DATABASE INSPEC INSTITUTE OF ELECTRICAL ENGINEERS, STEVENAGE, GB Inspec No. 4630955, KITAORI S ET AL: "Channel coding scheme for 3/4 inch HDTV digital VCR" XP000670637 see abstract see paragraph 4 see paragraph 4.1 see paragraph 5.1 see paragraph 5.2 &amp; SIGNAL PROCESSING OF HDTV, IV. PROCEEDINGS OF THE INTERNATIONAL WORKSHOP, KAWASAKI, JAPAN, 18-20 NOV. 1992, ISBN 0-444-81551-1, 1993, AMSTERDAM, NETHERLANDS, ELSEVIER, NETHERLANDS, pages 253-260,</p>	1-3,5,6, 9,10
A	<p>EP 0 472 375 A (MITSUBISHI ELECTRIC CORP) 26 February 1992 see column 1, line 26 - column 2, line 33 see column 6, line 34 - column 7, line 36 see column 9, line 52 - column 14, line 38 see figures 1-3,8-10</p>	1
A	<p>INTERNATIONAL CONFERENCE ON CONSUMER ELECTRONICS - DIGEST OF TECHNICAL PAPERS, ROSEMONT, JUNE 7 - 9 1995, no. CONF. 14, 7 June 1995, INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS, page 80/81 XP000547741 SCHOUHAMER IMMINK K A: "EFMPLUS: THE CODING FORMAT OF THE HIGH-DENSITY COMPACT DISC" see the whole document</p>	1,3-5,7, 8
A	<p>EP 0 471 130 A (IBM) 19 February 1992 see abstract see page 2, line 23 - line 57 see page 6, line 24 - page 7, line 48 see claims 1-9; figures 2-5</p>	1,5
A	<p>EP 0 126 609 A (DATA GENERAL CORP) 28 November 1984 see page 3, line 24 - page 5, line 19 see page 68, line 13 - page 71, line 10 see claims 1-3; figure 1</p>	1,2,5,6, 9,10
A	<p>SIGNAL PROCESSING: IMAGE COMMUNICATION, DEC. 1993, NETHERLANDS, vol. 5, no. 5-6, ISSN 0923-5965, pages 425-430, XP000426714 KITAORI S ET AL: "Channel coding scheme for 3/4 in HDTV digital VCR"</p>	
	-/--	

# INTERNATIONAL SEARCH REPORT

Inter. nal Application No  
PCT/JP 96/03626

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>IEEE TRANSACTIONS ON CONSUMER ELECTRONICS, AUG. 1995, USA, vol. 41, no. 3, ISSN 0098-3063, pages 491-497, XP000539497 IMMINK K A S: "EFMplus: the coding format of the multimedia compact disc" -----</p>	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/JP 96/03626

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EP 0597443 A	18-05-94	JP 6197024 A	15-07-94
		US 5506581 A	09-04-96
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